

16138
121203
U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 018062-005710US |
| First Inventor | Levine, Jon D. |
| Title | TREATMENT OF PAIN WITH COMBINATIONS OF NALBUPHINE AND OTHER KAPPA-OPPIOID RECEPTOR AGONISTS AND OPIOID RECEPTOR ANTAGONISTS |
| Express Mail Label No. | EV 332107718 US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 123]
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
- Oath or Declaration [Total Pages]
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

17510 U.S. PTO
10/73/2008
121203

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - paper number of pages
 - Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
- Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____
Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number

20350

OR Correspondence address below

| | | | |
|---------|-----------|----------|--|
| Name | | | |
| Address | | | |
| City | State | Zip Code | |
| Country | Telephone | Fax | |

| | | | |
|-------------------|-----------------|-----------------------------------|--------|
| Name (Print/Type) | Joe G. Ackerman | Registration No. (Attorney/Agent) | 24,307 |
|-------------------|-----------------|-----------------------------------|--------|

| | | |
|-----------|------|-------------------|
| Signature | Date | December 12, 2003 |
|-----------|------|-------------------|

60101375 v1

The PTO did not receive the following
listed item(s) 11 pages of
Specification

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 4757)

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Levine, Jon D. |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | 018062-005710US |

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity | Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | 385 |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$385)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Fee from below | Fee Paid |
|--------------------|-----|--------------------|----------|
| Total Claims | 286 | -20** = 266 X\$9 = | \$2,394 |
| Independent Claims | 49 | -3** = 46 X\$43 = | \$1,978 |
| Multiple Dependent | | X = | |

Large Entity | Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|--|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$4372)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|---------------------------|----------|--------------|----------|----------|--|----------|
| | 1051 | 130 | | 2051 | 65 | Surcharge - late filing fee or oath | |
| | 1052 | 50 | | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | 1053 | 130 | | 1053 | 130 | Non-English specification | |
| | 1812 | 2,520 | | 1812 | 2,520 | For filing a request for reexamination | |
| | 1804 | 920* | | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | 1805 | 1,840* | | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | 1251 | 110 | | 2251 | 55 | Extension for reply within first month | |
| | 1252 | 420 | | 2252 | 210 | Extension for reply within second month | |
| | 1253 | 950 | | 2253 | 475 | Extension for reply within third month | |
| | 1254 | 1,480 | | 2254 | 740 | Extension for reply within fourth month | |
| | 1255 | 2,010 | | 2255 | 1,005 | Extension for reply within fifth month | |
| | 1401 | 330 | | 2401 | 165 | Notice of Appeal | |
| | 1402 | 330 | | 2402 | 165 | Filing a brief in support of an appeal | |
| | 1403 | 290 | | 2403 | 145 | Request for oral hearing | |
| | 1451 | 1,510 | | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | 1452 | 110 | | 2452 | 55 | Petition to revive - unavoidable | |
| | 1453 | 1,330 | | 2453 | 665 | Petition to revive - unintentional | |
| | 1501 | 1,330 | | 2501 | 665 | Utility issue fee (or reissue) | |
| | 1502 | 480 | | 2502 | 240 | Design issue fee | |
| | 1503 | 640 | | 2503 | 320 | Plant issue fee | |
| | 1460 | 130 | | 1460 | 130 | Petitions to the Commissioner | |
| | 1807 | 50 | | 1807 | 50 | Petitions related to provisional applications | |
| | 1806 | 180 | | 1806 | 180 | Submission of Information Disclosure Stmt | |
| | 8021 | 40 | | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| | 1809 | 770 | | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | 1810 | 770 | | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | 1801 | 770 | | 2801 | 385 | Request for Continued Examination (RCE) | |
| | 1802 | 900 | | 1802 | 900 | Request for expedited examination of a design application | |
| | Other fee (specify) _____ | | | | | | |

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY*Complete if applicable*

Name (Print/Type) Joel G. Ackerman Registration No. (Attorney/Agent) 24,307 Telephone 415-576-0200

Signature _____ Date December 12, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.